

This report will be made public on 15 May 2017

Folkestone

Hythe & Romney Marsh
Shepway District Council



Report number **C/17/02**

To: Cabinet
Date: 31 May 2017
Status: Non-key Decision
Manager: Suzy Tigwell, Leadership Support Manager
Cabinet Member: Councillor David Monk, Leader of the Council

SUBJECT: CORPORATE RISK REGISTER UPDATE

SUMMARY:

The Council is committed to report on its changes to the Corporate Risk Register and this report outlines updates since April 2016 based on the corporate objectives outlined in the Corporate Plan 2013-2018.

REASONS FOR RECOMMENDATIONS:

Cabinet is asked to agree the recommendations set out below because:

- a) It is a requirement of the Council's Risk Policy to provide updates to the Corporate Risk Register to Members.

RECOMMENDATIONS:

1. To receive and note report C/17/02.

1. OVERVIEW

- 1.1 This report provides the latest amendments and updates to the Corporate Risk Register.
- 1.2 The corporate risks are in relation to the 2013-2018 Corporate Plan objectives.

2. BACKGROUND

- 2.1 The risk register is a key element of the Council's internal processes and it was rewritten in 2014 to create a forward looking document which considers the risks to the council's corporate objectives.
- 2.2 The Council's Risk Management Policy defines corporate risk as "those risks that need to be taken into account when looking at the medium to long term objectives of the council". These risks can be categorised as follows:
 - Political – those associated with a failure to deliver either local or central government policy.
 - Economic – those affecting the ability of the council to meet its financial commitments.
 - Social – those relating to the effects of demographic changes on the council's ability to deliver its objectives.
 - Technological – includes the consequences of internal technological failures on the council's ability to deliver its objectives.
 - Legislative – those associated with current or potential changes in national or European law.
 - Environmental – those relating to environmental consequences of progressing the council's corporate objectives.
 - Competitive – those affecting the competitiveness of the service and/or its ability to deliver best value.
 - Customer – those associated with the failure to meet the current and changing needs and expectations of customers.
 - Reputation – those relating to public confidence and failure to recruit high caliber staff.
- 2.3 The Corporate Risk Register is the document which is used to collate and report on the strategic risks facing the Council. It requires the identified risk owner to provide a risk description, a risk score and an overview of the mitigating actions.
- 2.4 Shepway District Council reports risk by exception. This means the risk register is focused on those risks that are changing and those that are 'high risk'. Risks will not appear on the register if the Council has put in place the only mitigating actions available and risk is unlikely to change. This approach allows senior managers and members to focus on the key risks to the authority and its services.

- 2.5 The risks have been assessed on likelihood and impact by the specific risk owners. They have used the following chart:-

Impact		Likelihood	
1	Minor	1	Unlikely
2	Significant	2	Possible
3	Serious	3	Highly possible
4	Major	4	Probable
5	Disastrous	5	Certainty

3. RISK MANAGEMENT UPDATE

- 3.1 The Corporate Risk Register for 2014/15 has been reviewed and updated. All mitigation measures were reviewed and updated where necessary.
- 3.2 The full register of risks is attached as Appendix 1. In summary, they are:
- Financial uncertainty
 - Organisational resources
 - Delivering new homes in the district
 - Economic Growth
 - HRA new build programme
 - Major development sites
 - Governance
 - Health and Wellbeing
- 3.3 A complete review of the Corporate Risk Register will be undertaken in 2017/18 in line with the new Corporate Plan and to reflect the risks of delivering the Council's key objectives in a challenging environment.

4. RISK MANAGEMENT ISSUES

- 4.1 A summary of the perceived risk is as follows:

Perceived risk	Seriousness	Likelihood	Preventative action
The identified risks will prevent the Council from delivering the objectives and actions in the Corporate Plan	High	Low	The risks have been considered and mitigation measures have been identified to ensure that the risks are significantly reduced.

5. LEGAL/FINANCIAL AND OTHER CONTROLS/POLICY MATTERS

- 5.1 **Legal officer's (AK)**
There are no legal implications arising directly from this report.
- 5.2 **Finance officer's comments (PM)**
The financial risks associated with the corporate risk register are outlined in

Appendix 1 to this report. There are no further financial implications arising from this report.

5.3 Diversity and equality implications (ST)

There are no diversity and equalities implications arising from this report.

5.4 Communications implications (ML)

There are no communication implications arising from this report.

6. CONTACT OFFICERS AND BACKGROUND DOCUMENTS

Councilors with any questions arising out of this report should contact the following officers prior to the meeting:

Dee Chambers, Policy & Improvement Officer

Telephone: 01303 853508

Email: dee.chambers@shepway.gov.uk

Suzy Tigwell, Leadership Support Manager

Telephone: 01303 853232

Email: suzy.tigwell@shepway.gov.uk

Appendices:

Appendix 1: Corporate Risk Register